

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : COMPLIANCE	
Download Ref.No.: NSE/COMP/20560	Date : April 17, 2012
Circular Ref.No.: 71/2012	

To All Members

Sub : Uploading of Monthly Disclosure of Client Funding File by Members

Attention of members is drawn to the Exchange circulars no.: NSE/CMO/0271/2005 (Download NO. NSE/CMTR/6962) and NSE/CMO/0272/2005 (Download NO. NSE/CMTR/6963) dated December 19, 2005, wherein members are required to upload the details of client funding done by them of the previous month by the 7th day of the subsequent month. The Exchange had also specified the format of one time letter for members who have no details to be furnished in terms of the said circular.

In our endeavor to serve the members better, an elaborate 'Client Funding' module has been introduced on ENIT for submission of the client funding details with effect from May 01, 2012. The new ENIT module will –

- Enable the members to submit the details 24x7 from 1st to 7th of the month
- Provide the members an immediate response on the details submitted
- Be a fully automated & simplified process for submission.

Members are requested to submit the Client Funding Details/ One Time Exemption Letter for the month of April 2012 and thereafter through ENIT. No physical/hardcopies for one time Exemption letter will be accepted. The detailed procedure to be followed for submitting the client funding details / One time Exemption Letter is given in **Annexure 1**.

As Members are already aware, it is reiterated that failure to comply with the aforesaid reporting requirement (including non-submission of one time letter also) within the prescribed due date will attract non reporting charges of ₹ 1,000/-. **The ENIT module for 'Client Funding' will NOT allow submission of details to the Exchange after the 7th day of the month.**

The above described ENIT module and levy of non-reporting charges will be effective for reporting of Client Funding for the month of April 2012 and onwards. Members will be informed of the charges (if any) relating to non-submission of Client Funding through ENIT>Downloads. Members are requested to take note of the above and comply with the same.

**For and on behalf of
National Stock Exchange of India Limited**

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